

# China Investors Project



Institutional Ownership Analysis  
 Top 25 Holders of US-Listed

(in \$millions)

**SAMPLE: LOGOS, PEERS  
 AND DATA FIELDS ARE  
 CUSTOMIZABLE**

Name	Style	Sector <sup>(a)</sup>		US-Listed Chinese Mid-Cap Stocks			US-Listed Chinese Small-Cap Stocks			City	State
		Pos.	Chg. <sup>(b)</sup>	Pos.	Chg. <sup>(b)</sup>	# Held	Pos.	Chg. <sup>(b)</sup>	# Held		
Fidelity Management & Research	GARP	2,040	61	1,958	70	20	83	(9)	11	Boston	MA
Wellington Management Co	Value	1,148	155	1,047	152	8	102	3	4	Boston	MA
Morgan Stanley Investment Management	GARP	637	210	623	215	15	14	(5)	13	New York	NY
AllianceBernstein	Growth	520	77	457	88	7	64	(11)	4	New York	NY
AIM Investments											TX
UBS Global Asset Management											IL
Goldman Sachs Asset Management											NY
Janus Capital Management											CO
Pequot Capital Management											CT
Waddell & Reed Investment Management											KS
Fred Alger Management											NY
Miura Global Management											NY
Deutsche Asset Management											NY
D.E. Shaw & Co											NY
OppenheimerFunds											NY
Mackenzie Financial Corporation											ON
Matthews International Capital Mgmt	International	192	27	174	29	6	19	(1)	1	San Francisco	CA
Gilder Gagnon Howe & Co	Hedge	190	(4)	190	(4)	5	0	0	0	New York	NY
Calamos Asset Management	Growth	186	(39)	186	(39)	4	0	0	0	Naperville	IL
TCS Capital Management	Hedge	182	13	182	13	1	0	0	0	New York	NY
Tiger Global Management	Technology Focused	179	(90)	165	(94)	2	13	5	1	New York	NY
Edinburgh Partners	GARP	161	161	161	161	1	0	0	0	Edinburgh	
Legg Mason Capital Management	Value	152	(3)	130	(1)	1	22	(2)	2	Baltimore	MD
Capital International	GARP	147	14	147	14	3	0	0	0	Los Angeles	CA
Perry Capital	Hedge	139	(13)	139	(13)	3	0	0	0	New York	NY

**SAMPLE**

Holdings based on most recent publicly available filings  
 (a) Includes: Mid- and Small-Cap US Listed Chinese Stocks  
 (b) Change since previous filing